



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Michaud, Sroka, and Winkelmann

Serial No.: 10/071,533

Filed: February 7, 2002

For: CHEMICAL MECHANICAL MACHINING AND  
SURFACE FINISHING

Group Art Unit: 3723

Examiner: Dung V. Nguyen

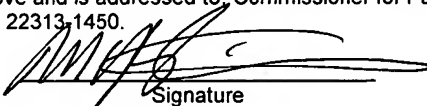
Atty. Dkt. No.: 12350.0010.NPUS01

Confirmation No.: 1312

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

09/16/2004 FFANAEIA 00000038 012508 10071533

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CERTIFICATE OF EXPRESS MAILING	
NUMBER	EV 317619683 US
DATE OF DEPOSIT	September 15, 2004
I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
 Signature	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Applicants submit herewith patents, publications, and other materials and information, which they are aware of and which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose. These items have been listed on PTO FORM 1449 of which there is one page enclosed herewith in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b). Copies of cited U.S. patents and U.S. patent publications are not submitted for patent applications filed after

June 30, 2003 (see partial waiver of 37 C.F.R. 1.98(a)(2)(i); Official Gazette notice dated August 5, 2003).

It is respectfully requested that the listed items be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicants further request that an initialed copy of the enclosed FORM 1449 be entered into the application file wrapper and returned to the applicants with the next communication from the Office in accordance with M.P.E.P. § 609.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is or is considered to be, material to the patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. [37 C.F.R. 1.97(g)]

The Information Disclosure Statement submitted herewith is believed to be filed before the mailing date of a notice of allowance under 37 C.F.R. § 1.311. [37 C.F.R. 1.97(b)]. No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, no item of information disclosed in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement. [37 C.F.R. 1.97(b), (e)]. The Commissioner is authorized to charge the appropriate fee set forth in 37 C.F.R. § 1.17(p) to the Deposit Account No. 01-2508, referencing Order No. 12350.0010.NPUS01.

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Applicants hereby request for any extension of time that may be deemed necessary to further the prosecution of this application. Applicants' representative hereby authorizes the Commissioner to charge any additional fees which may be required, or credit any overpayment, to the Deposit Account No. 01-2508, referencing Order No. 12350.0010.NPUS01.

HOWREY  
SIMON  
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Serial No.: 10/071,533  
Inventors: Michaud, et al.  
File: 12350.0010.NPUS01

In order to facilitate the resolution of any issues or questions presented by this paper, Applicants respectfully request that the Examiner directly contact the undersigned by phone to further the discussion, reconsideration and allowance of the claims.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'M. F. Steinheider', with a long horizontal flourish extending to the right.

Matthew F. Steinheider

Patent Attorney

Reg. No. 47,968

Date: September 15, 2004

Form PTO-1449 (modified)

Atty. Docket No.  
12350.0010.NPUS01Serial No.  
10/071,533

List of Patents and Publications for Applicant's

Applicant  
Michaud, et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
February 7, 2002Group:  
3723U.S. Patent Documents  
See Page 1Foreign Patent Documents  
N/AOther Art  
N/A

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if Appropriate
	A1	US 2003/0040264 A1	02/27/2003	Taniguchi et al.	451	47	07/31/3001
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)